



2-4-08

1/18 3753

PTO/SB/21 (09-06)

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TRANSMITTAL FORM		Application Number		10/593,399	
(to be used for all correspondence after initial filing)		Filing Date		September 19, 2006	
		First Named Inventor		Roberto Pozzati	
		Art Unit		3753	
		Examiner Name		John Bastianelli	
Total Number of Pages in This Submission		Attorney Docket Number		18545US00	
ENCLOSURES (check all that apply)					
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD		<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return-Receipt Postcard <input type="checkbox"/> Other Enclosure(s) (please identify below):	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual Name	McAndrews Held & Malloy, Ltd.				
Name (Print/type)	Jonathan M. Rushman	Registration No. (Attorney/Agent)		55,870	
Signature				Date: February 1, 2008	
EXPRESS MAIL DEPOSIT					
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Docket No.: 18545US00  
 Applicant: Roberto Pozzati  
 Serial No.: 10/593,399 Conf. No.: 9366  
 Filing Date: September 19, 2006

- ☒ Transmittal  
☐ Fee Transmittal  
☐ Patent Application Including:  
     ☐ \_\_\_\_\_ Page(s) Cover Sheet  
     ☐ \_\_\_\_\_ Page(s) Specification  
     ☐ \_\_\_\_\_ Page(s) Drawings  
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**UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 18545US00 (Formerly P4422))**

In the Application of:

Roberto Pozzati

Serial No. 10/593,399

Filed: September 19, 2006

For: METAL VALVE  
STEM SEAL AND  
SEALING SYSTEM


Examiner: BASTIANELLI, JOHN

Group Art Unit: 3753

Confirmation No. 9306

**CERTIFICATE OF  
TRANSMISSION**

I hereby certify that this  
correspondence is being  
transmitted to the USPTO via  
Express Mail, No.  
EV304937878US, on  
February 1, 2008.

  
Jonathan M. Rushman  
Registration No. 55,870

Customer No. 23,446

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Applicant submits herewith form PTO/SB/08a, which lists the  
cited reference(s).

Applicant submits herewith the Office Action dated January 2,  
2008 that was issued for U.S. patent application no. 11/743,451  
entitled "Metal Valve Stem And Sealing System", which was filed in

**Attorney Docket No. 18545US00 (Formerly P4422)**


the name of Roberto Pozzati, the same inventor named in the present application. The Office Action cites references listed on form PTO/SB/08a submitted herewith.

Applicant also submits herewith the International Preliminary Report on Patentability (dated May 8, 2007) and the International Search Report and Written Opinion (dated June 9, 2005) for the PCT/International application No. PCT/US2004/36827, from which the present application claims continuation status. The International Search Report cites references listed on form PTO/SB/08a submitted herewith.

This Information Disclosure Statement is being submitted before receipt of a first Office Action on the merits of the application.

Please charge any fees incurred in connection with this submission to Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

  
Jonathan M. Rushman  
Registration No. 55,870

February 1, 2008

Date

**Attorney Docket No. 18545US00 (Formerly P4422)**

Robert W. Fieseler  
Registration No. 31,826  
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Facsimile: (312) 775-8100

FEB 01 2008

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10593399
Filing Date	2006-09-19
First Named Inventor	Roberto Pozzati
Art Unit	3753
Examiner Name	John Bastianelli
Attorney Docket Number	18545US00

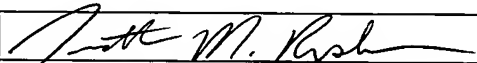
**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

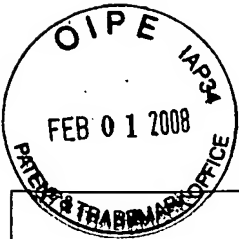
- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).
- OR**
- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).
- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2008-02-01
Name/Print	Jonathan M. Rushman	Registration Number	55870

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.